

Hermes Econometrics has established policies that govern how we conduct our business and how we work with clients. These policies are available for client review at any time. We are required to provide the following policies to clients on an annual basis.

Proxy Policy

Hermes does not provide services for proxy voting to its clients. All proxies are sent directly to clients from the custodian. Hermes periodically reviews client options at each custodian to ensure proper coding is maintained on all client accounts. If any client receives material contrary to Hermes's proxy voting statement, they should contact our office immediately. Hermes occasionally votes proxies for its principals. Questions concerning proxy voting can be directed to us.

Privacy Policy

We will keep confidential all information concerning client identity, financial affairs and investments, except as the client otherwise agrees or is as required by law.